

Doctorates

The Degree of Doctor of Philosophy (PhD)

These regulations apply to all PhD candidates enrolled from 1 December 2007. Candidates first enrolled at an earlier date continue under the regulations in force at that time (but see Clause 13 for transition provisions).

For guidelines to these Regulations and further information, consult the Postgraduate Office or the Policy Library.

1. Nature of the degree

- (a) Study for the degree of Doctor of Philosophy involves a sustained, rigorous and systematic approach to the relevant body of knowledge, undertaken through experimentation, archival work, or other appropriate means. It includes an original research project that makes a significant contribution to knowledge and understanding or application of knowledge. It requires the preparation of a substantial thesis that presents the outcome of the research and places it in the broader framework of the discipline or field of study. Undertaken under qualified supervision, it promotes intellectual independence and the capacity to undertake further research at an advanced level.
- (b) The thesis must meet recognised international standards for such work.
- (c) The thesis must be submitted in English or te reo Maori and may not exceed 100,000 words in total, excluding appendices, without the permission of the Dean of Postgraduate Studies. Candidates wishing to submit and defend a thesis in Maori must seek approval at the time of registration. A recommendation will be made by the Assistant Vice-Chancellor (Maori) or nominee as to the candidate's fluency and literacy in te reo Maori in the thesis subject-area and the likelihood of being able to find appropriately qualified examiners for the thesis. The subject areas for the degree of Doctor of Philosophy are: Accounting and Information Systems (ACIS)*; Accountancy, Finance and Information Systems (AFIS); American Studies (AMST); Antarctic Studies (ANTA); Anthropology (ANTH); Applied Psychology (APSY); Art History

(ARTH); Art Theory (ARTT); Astronomy (ASTR); Audiology (CMDS); Biochemistry (BCHM); Bioengineering (ENB); Biotechnology (BIOT); Cellular and Molecular Biology (CEMB); Chemical and Process Engineering (ENCH); Chemistry (CHEM); Chinese (CHIN); Civil Engineering (ENCI); Classics (CLAS); Computational and Applied Mathematics (CAMS); Computer Science (COSC); Cultural Studies (CULT); Ecology (ECOL); Economics (ECON); Education (EDUC); Electrical and Electronic Engineering (ENEL); Engineering Geology (ENGE); Engineering Management (ENMG); English (ENGL); Environmental Science (ENVR); European Studies (EURO); Finance (FINC)*; Fire Engineering (ENFE); Forestry (FORE); Forestry Engineering (ENFO); French (FREN); Gender Studies (GEND); Geography (GEOG); Geology (GEOL); German (GRMN); Hazard and Disaster Management (HAZM); Health Sciences (HLTH); Higher Education (HEDN); History (HIST); History and Philosophy of Science (HAPS); Human Services (HSRV); Japanese (JAPA); Journalism (JOUR); Law (LAWS); Linguistics (LING); Management (MGMT); Management Science (MSCI); Maori (MAOR); Mass Communication (COMS); Mathematical Physics (MAPH); Mathematics (MATH); Mathematics and Philosophy (MPHI); Mechanical Engineering (ENME); Medical Physics (MDPH); Medical Physics (Clinical) (MPHC); Microbiology (MBIO); Music (MUSI); Pacific Studies (PACS); Philosophy (PHIL); Physics (PHYS); Plant Biology (PBIO); Political Science (POLS); Psychology (PSYC); Religious Studies (RELS); Russian (RUSS); Science Education (SCED); Social Work (SOWK); Sociology (SOCI); Spanish (SPAN); Speech and Language Therapy (CMDS); Statistics (STAT); Theatre and Film Studies (TAFS); Transportation Engineering (ENTR); Zoology (ZOO).

*Subject to NZVCC CUAP approval due December 2008.

2. Conditions of enrolment

- (a) Every candidate, before enrolling for the degree, must be approved as a candidate by the Dean of

Postgraduate Studies.

- (b) Every candidate for the degree must either:
 - i. have qualified in New Zealand for a Bachelor's degree with first or second class honours, or a Master's degree at the standard of first or second class honours, or the equivalent; or
 - ii. have been admitted ad eundem to the status of a graduate equivalent to (i) above.
- (c) The Dean of Postgraduate Studies must be satisfied that the candidate has adequate training and ability to pursue the proposed course.
- (d) In special circumstances, the Dean of Postgraduate Studies may approve the enrolment of a graduate who does not hold one of the qualifications under Regulation 2(b), but who has produced satisfactory evidence of adequate training and ability to pursue the proposed course.

3. Enrolment and registration

- (a) A candidate shall apply for enrolment on the 'PhD Application' form. The form, which must be signed by the Head of Department/School, must nominate a potential Senior Supervisor, indicate the general field of study, and recommend a date of enrolment. If the enrolment is approved by the Dean of Postgraduate Studies, he or she shall confirm the date of enrolment.
- (b) Once enrolled, the candidate shall work to develop a research proposal under the guidance of a potential Senior Supervisor who shall normally be a continuing academic staff member. Within six months of enrolment for the degree, the candidate shall present a proposal to the Head of Department/School for approval and forwarding to the Dean of Postgraduate Studies for approval and formal registration. Failure to obtain such approvals within six months may lead to termination of enrolment.
- (c) The research proposal will not be approved until the student has completed an induction course approved by the Dean of Postgraduate Studies.
- (d) The research proposal must be accompanied by the PhD Registration form (Research Proposal), available from the Postgraduate Office or the Student Administration website <http://www.canterbury.ac.nz/acad/phd/proposal.shtml>. Research proposals involving a contract (other than the "Supervision Agreement" which is part of the Standard Registration form) must acknowledge the signing of the contract either

by the Research Office or by Canterprise, as appropriate.

- (e) Where the research is dependent on the approval of the University's Human Ethics Committee or Animal Ethics Committee, or any other committee or organisation, approval must have been obtained prior to registration of the proposal. Full documentation of the approval(s) received must be submitted with the proposal.
- (f) With the approval of the Dean of Postgraduate Studies, and on the recommendations of the Head of Department/School and the appropriate Faculty Dean, a candidate for a Master's degree may transfer to the degree of Doctor of Philosophy provided:
 - i. The candidate has satisfactorily completed any course work normally required by a candidate enrolling for a PhD. This requirement will be determined in each case by the Faculty Dean, and
 - ii. The candidate has undertaken research towards a Master's thesis or project for a period not normally less than the equivalent of six months full-time enrolment, and
 - iii. The application for transfer is accompanied by a full account of progress made in research for the Master's thesis or project work, and a PhD research proposal, as otherwise required under regulation 3(b). Transfer will not be approved unless the PhD research proposal is approved.

If the candidate is enrolled for a PhD under this regulation, the date of enrolment and registration will be backdated as is considered appropriate, normally to the date at which the Master's thesis or project work was commenced.

4. Full-time and part-time study

- (a) i. A candidate shall normally be enrolled as a full-time candidate.
- ii. The minimum period of enrolment for a full-time candidate is 24 months.
- iii. The maximum period of enrolment for a full-time candidate is normally four years, although if extenuating circumstances related to the student's research can be demonstrated, the Dean of Postgraduate Studies may extend this period up to five years.
- (b) i. With the approval of the Dean of Postgraduate Studies, a candidate may be enrolled as a part-time candidate.
- ii. An applicant for part-time enrolment must produce evidence, including a statement

from any employer, that he or she will be able to pursue satisfactorily the necessary study and research.

- iii. The maximum period of enrolment for a part-time candidate is normally seven years, and the Dean of Postgraduate Studies will not approve part-time enrolment unless satisfied that the candidate can devote sufficient time to study and research to be able to present a thesis within this time. If extenuating circumstances related to the student's research can be demonstrated, the Dean of Postgraduate Studies may extend this period up to eight years.
 - iv. For a part-time candidate the minimum period of enrolment shall be not less than 36 months.
 - v. The minimum period of enrolment for staff of the University or other educational institutions shall normally be four years.
- (c) After the commencement of study and research for the degree, a candidate may, with the permission of the Dean of Postgraduate Studies, transfer from part-time to full-time status, or vice-versa.
- (d) Notwithstanding, candidates enrolled prior to 2003 continue under the 2002 regulations with respect to the maximum period of enrolment.

5. Supervision

- (a) Upon approval of the research proposal, the Dean of Postgraduate Studies shall appoint a Senior Supervisor of the research, normally a member of the continuing academic staff of the University, who shall supervise the work of the candidate and be responsible for ensuring that all administrative and regulatory requirements are met. The Dean may appoint a non-continuing academic staff member as Senior Supervisor if satisfied that the student will receive continuity of supervision for the whole term of the PhD. In addition to the Senior Supervisor, there shall be a Co-Supervisor and/or one or more Associate and/or Assistant Supervisors and/or a Supervisory Committee to support the supervisor and candidate. Members of the supervisory team, other than the Senior Supervisor, may be from outside the Department/School in which the candidate is registered, and may be from another university or from outside the university system. Members of the supervisory team will be appointed by the Head of Department/School in consultation with the candidate and Senior Supervisor, and

their names recorded in the Postgraduate Office.

- (b) For good reason, which may include the existence of a dispute between the candidate and a member of the supervisory team, the Dean of Postgraduate Studies may replace a Senior Supervisor, and the Head of Department/School may replace any other member of the supervisory team.
- ## 6. Place of research and study
- (a) A candidate must spend at least 18 months undertaking study and research at the University of Canterbury.
- (b) For the remainder of the duration of study and research, a candidate may study away from the University of Canterbury:
- i. with the approval of the Senior Supervisor and Head of Department/School, a candidate may undertake study and research at places and institutions in New Zealand outside the University of Canterbury.
 - ii. with the approval of the Dean of Postgraduate Studies, a candidate may undertake study and research outside New Zealand for a specified period.
 - iii. before being granted approval under either (i) or (ii) above, the candidate must demonstrate:
 - a. that he or she has adequate financial means to meet the costs of travel or the research,
 - b. that if required, there are adequate research facilities and supervision at the proposed locations, and
 - c. that there is a satisfactory means of communication with the Senior Supervisor at the University of Canterbury.

- (c) Notwithstanding clause (a), with the approval of the Dean of Postgraduate Studies, and on the recommendation of the Head of Department/School, a candidate may make a distance enrolment for the PhD under which the 18 month requirement of clause (a) may be reduced. Approval will not be granted unless the Department/School can show that adequate supervision can be provided, and that the necessary resources are available or can be made available to the candidate.

7. Reports and progress

- (a) Six and 12 months after the date of registration of the research proposal, and at intervals of 12 months thereafter, the Supervisors and the

student shall complete the PhD Progress Report Form for the Head of Department/School to submit to the Dean of Postgraduate Studies. The student must see the form completed by the Supervisors and sign it.

- (b) Before approving a progress report, the Dean of Postgraduate Studies may require further explanation from the Head of Department/School, Senior Supervisor and candidate, and may, if he or she thinks appropriate, place the candidate on probation for a period not exceeding one year. During this period the Dean will require reports from both the student and the Senior Supervisor at least every six months.
- (c) At the end of the probationary period, the Dean of Postgraduate Studies may terminate a candidate's registration on the recommendation of the Head of Department/School if satisfactory progress has not been made, or make any changes to the supervisory regime considered necessary.
- (d) In exceptional cases not covered by Regulation 7(c), the Dean of Postgraduate Studies may at any time, upon the recommendation of the Senior Supervisor and Head of Department/School, terminate or alter the terms of the candidate's registration either unconditionally or upon such terms as the Dean may think fit.
- (e) If at any time the candidate is dissatisfied with the supervision provided or the resources available or with any other matter affecting his or her progress, the candidate should report the matter to the Head of Department/School or directly to the Dean of Postgraduate Studies.

8. Submission of thesis

- (a) Subject to fulfilment of the requirements of these regulations, a candidate may apply through the Postgraduate Office to be examined. Except with the approval of the Dean of Postgraduate Studies this must be done within the maximum enrolment period.
- (b) The candidate shall submit two copies of a thesis embodying the results of the research; this shall be accompanied by the Supervisor's Certificate from the Senior Supervisor, stating that the work described in the thesis was carried out under his or her immediate supervision.
- (c) A candidate may include in the thesis, or attach as an appendix to it, any of his or her relevant published work. Where the published work has more than one author, it shall be accompanied by a statement signed by the candidate

identifying the candidate's own contribution.

- (d) A candidate must indicate in the thesis any part which he or she has previously used for another degree. (See Regulation 1 concerning the requirements for original work.)
- (e) Once examiners have been appointed, as below, the thesis shall normally be sent to the examiners within 10 working days.

9. Examination

- (a) Two examiners shall be recommended by the Senior Supervisor, considered by the departmental/school postgraduate research committee or coordinator of postgraduate research, and nominated on the PhD Examiner Request form by the Head of Department/School or postgraduate coordinator for approval by the Dean of Postgraduate Studies. Nominations must be received no later than two weeks after submission of the thesis. The form is available from the Postgraduate Office or the Student Administration website <http://www.canterbury.ac.nz/acad/phd/forms.shtml>. No member of the supervisory team may be an examiner. Normally one of the examiners will be from New Zealand. In choosing the overseas examiner, familiarity with our system for PhD theses shall be taken into account.
- (b) Prior to the nomination of examiners, the candidate should be informed by the Senior Supervisor who the proposed examiners are. The candidate has a right to make a case to the Dean of Postgraduate Studies that a particular person not be an examiner.
- (c) The Senior Supervisor may not be an examiner but shall be involved in the examination process, including viewing and commenting on the examiners' reports and attending the oral examination, as below.
- (d) Once examiners have been nominated, all communication between the University and the examiners that relate to the examination must be through the Postgraduate Office.
- (e) Supervisors of the thesis and the candidate must not contact examiners about matters relating to the thesis once they have been appointed.
- (f) If the Head of Department/School is a supervisor, he or she shall appoint a deputy to act as Head for the purposes of administering the examination, including the nomination of examiners.

- (g) The two examiners shall each submit an independent written report on the thesis to the Postgraduate Office, which shall forward the reports to the Head of Department/ School or appointed deputy. Each report must be accompanied by a PhD Examiners Report form (available at the Student Administration website), which is to be completed by the examiner.
- (h) The supervisory team shall provide a joint contextual report on the student's thesis within four weeks of the submission of the thesis. The contextual report must be submitted to the Postgraduate Office, which shall forward it to the Dean of Postgraduate Studies and to the candidate. If the candidate has any concerns over the contextual report, the candidate should raise the matters with the Dean of Postgraduate Studies within a week of receiving the report.
- (i) After both examiners' reports have been received, copies shall be given to the Senior Supervisor, who has the right to make a written report on any aspect of the examiners' reports. The Senior Supervisor's report, signed by all members of the supervisory team, shall be submitted to the Postgraduate Office, which will forward it to the Dean of Postgraduate Studies.
- (j) When the examiners' reports, the supervisors' contextual report, any formal comments from the candidate on the contextual report and any formal comments by the Senior Supervisor on the examiners' reports have been received, the Dean of Postgraduate Studies shall determine whether the oral examination should proceed at that point. In making this decision, the Dean may correspond with the examiners in which case the nature and substance of any correspondence must be recorded. The Dean may also seek new examiners' reports, replace one or more examiners or appoint an additional examiner.
- (k) i. There shall be an oral examination of the candidate on the subject of the thesis and on the general field to which the subject belongs.
- ii. The Dean of Postgraduate Studies shall, after consultation with the Head of Department/ School or postgraduate coordinator, appoint a senior member of the University staff to organise and preside at the oral examination. The organiser of the oral examination shall act as a neutral chair and does not have voting rights, but may ask questions of the candidate. He or she shall ensure that questions posed by the absent examiner are put to the candidate.
- iii. The examiners' reports shall be released to the candidate by the organiser of the oral examination no more than one week and no less than three days prior to the oral examination. An examiner's name may not be withheld, though specific sections of a report may be, at the examiner's request.
- iv. The oral examination shall be conducted by one of the examiners. The other examiner may take part in the examination with the approval of the Dean of Postgraduate Studies.
- v. The Senior Supervisor shall also be present as an observer and participate to the extent requested by the organiser.
- vi. The candidate shall have the right to have a maximum of two persons present at the oral examination as observers. Other persons may also be present as observers with the agreement of the candidate and the organiser. The observers must remain silent unless the organiser allows otherwise, and the observers must not disrupt the examination in any way, whether audibly or otherwise.
- vii. At the request of the examiners, the candidate may be required to sit a written examination following the oral examination.
- viii. In exceptional circumstances, an oral examination may be waived by the Vice-Chancellor on the advice of the Dean of Postgraduate Studies.
- (l) After the oral examination and any written examination, the examiners shall, after consultation, submit to the Postgraduate Office the PhD Final Joint Examiners' Report Form, together with a full report on the whole examination. They shall recommend that the thesis:
- i. be accepted, the degree awarded and the candidate placed on the Dean's list in recognition of excellence;
- ii. be accepted, and the degree awarded;
- iii. be accepted and the degree awarded after minor amendments have been made by a specified date to the satisfaction of one of the examiners nominated by the person presiding at the oral examination;
- iv. be rejected in its present form, but be revised and re-submitted for re-examination by a specified date;

- v. be accepted for another degree, with or without amendments;
 - vi. be rejected with no right of resubmission.
- (m) At the conclusion of the oral, the organiser may, with the agreement of all the examiners, inform the candidate of the intended recommendation.
- (n) After the oral examination and receipt of reports, the Dean of Postgraduate Studies shall consider all reports and recommendations, and shall determine the outcome of the examination.
- (o) In the event of disagreement between the examiners, and after the organiser of the oral examination has taken all reasonable steps to achieve consensus, the Dean of Postgraduate Studies may appoint an adjudicator to determine the outcome of the examination.

10. Resubmission

Where revision and resubmission are recommended and approved by the Dean of Postgraduate Studies, the process for the submission of the thesis, appointment of examiners and conduct of the oral examination shall be as in regulations 8 and 9 above. Normally, the original examiners will undertake the re-examination of the thesis. The revision and resubmission option can be exercised once only.

11. Deposit of thesis

After a candidate has been approved for the award of the degree of Doctor of Philosophy, the Chief Supervisor shall deliver two final copies of the thesis to the University Library, one in softbound or unbound form for hard binding and the other in

electronic form as a pdf file. The candidate should make separate arrangements for the hard binding of any extra copies required, either with the University Library, or elsewhere.

12. Variation

The Dean of Postgraduate Studies shall have power to vary these regulations in respect of a particular candidate where special or unusual circumstances warrant it.

13. Transitional Regulations

Students enrolled under the 2003 regulations continue under those regulations, but may elect to transfer to this set of regulations on each anniversary of enrolment.

14. Appeals

A candidate may appeal against the decisions of the Dean of Postgraduate Studies made under clauses 3 to 9 of these regulations, normally within three months of the decision, on the grounds that:

- (a) relevant information which was not available to the Dean of Postgraduate Studies at the time when a decision was made has since become available; and/or
- (b) the procedure adopted in arriving at the decision was unfair.

The appeal must state clearly all grounds relied upon by the candidate and attach all relevant documentation.

The Degree of Doctor of Commerce (DCom)

1. The Degree of Doctor of Commerce shall be awarded for significant original contributions to Commerce.
2. A candidate for the Degree of Doctor of Commerce shall hold a degree of the University of New Zealand or of the University of Canterbury or shall have been admitted under the admission ad eundem statum regulations to the status of such a degree. No candidate shall be examined for the degree of Doctor of Commerce until five years have elapsed from having been admitted to the qualifying degree. Except in special circumstances approved by the Academic Board, no member of the academic staff who is not a graduate of the University shall be examined for the Degree of Doctor of Commerce until he or she has served a period of five years on the permanent full-time staff of the University.
3. The Degree of Doctor of Commerce shall be awarded for published work of the candidate or, in special circumstances, for unpublished work, provided that these special circumstances shall be recognised as sufficient by the Academic Board.
4. With the application, a candidate shall forward:
 - (a) Three copies of the work referred to in Regulation 3 upon which the application is based.
 - (b) Three copies of any additional work, published or unpublished, which he or she desires to submit in support of the application.

- (c) A statement signed by the candidate identifying the work which is the candidate's own, and stating that the principal work has not been previously accepted and is not being currently presented for a degree or diploma in any other University.
5. Upon receipt of a report from the Faculty ad hoc committee (as appointed under General Course and Examinations Regulations: M. Higher Doctorates) the Academic Board shall decide whether to proceed with the examination. The work may then be submitted to three examiners, of whom at least one shall be resident outside New Zealand. The candidate may be required by the examiners to sit a written or oral examination. The examiners shall report to the Dean of Postgraduate Studies through the relevant Faculty Dean.
- Notes:
1. *The entry fee of \$2,000 shall be submitted with the application.*
 2. *See Regulation L11 of the General Course and Examination Regulations for the resolution of disagreements between examiners.*

The Degree of Doctor of Engineering (DEng)

1. The Degree of Doctor of Engineering shall be awarded for significant original contributions to Engineering.
 2. A candidate for the Degree of Doctor of Engineering shall hold a degree of the University of New Zealand or of the University of Canterbury or shall have been admitted under the admission ad eundem statum regulations to the status of such degree. No candidate shall be examined for the Degree of Doctor of Engineering until five years have elapsed from having been admitted to the qualifying degree.
Except in special circumstances approved by the Academic Board no member of the academic staff who is not a graduate of the University shall be examined for the Degree of Doctor of Engineering until he or she has served a period of five years on the permanent full-time staff of the University.
 3. The Degree of Doctor of Engineering shall be awarded for published papers, books, designs and inventions by the candidate or in special circumstances for unpublished work, provided that these special circumstances are recognised as sufficient by the Academic Board.
 4. With the application, a candidate shall forward:
 - (a) Three copies of the work referred to in Regulation 3 upon which the application is based.
 - (b) Three copies of any additional work, published or unpublished, which he or she desires to submit in support of the application.
 - (c) A statement signed by the candidate identifying the work which is the candidate's own, and stating that the principal work has not been previously accepted and is not being currently presented for a degree or diploma in any other University.
 5. Upon receipt of a report from the Faculty ad hoc committee (as appointed under General Course and Examination Regulations: M. Higher Doctorates) the Academic Board shall decide whether to proceed with the examination. The work may then be submitted to three examiners, of whom one at least shall be resident outside New Zealand. The candidate may be required by the examiners to sit a written or oral examination. The examiners shall report to the Dean of Postgraduate Studies through the relevant Faculty Dean.
- Notes:
1. *The entry fee of \$2,000 shall be submitted with the application.*
 2. *See Regulation L11 of the General Course and Examination Regulations for the resolution of disagreements between examiners.*

The Degree of Doctor of Laws (LLD)

1. The Degree of Doctor of Laws shall be given for an original contribution or contributions of special excellence to the history, philosophy, exposition or criticism of Law.
2. (1) A candidate shall either:
 - (a) hold the Degree of Master of Laws of a university in New Zealand; or
 - (b) hold the Degree of Doctor of Philosophy in the Faculty of Law of a university in New Zealand; or
 - (c) have been admitted ad eundem statum to the status of Master of Laws in the University of Canterbury.
- (2) No candidate shall be examined for the Degree of Doctor of Laws until five years have elapsed from having been admitted to the qualifying degree. Except in special circumstances approved by the Academic Board no member of the academic staff who is not a graduate of the University shall be examined for the Degree of Doctor of Laws until he or she has served a period of five years on the permanent full-time staff of the university.
3. The Degree shall be awarded on the basis of published work of the candidate or, in special circumstances approved by the Academic Board and subject to the provisions of Regulation 5(3) hereof, an unpublished work.
4. A candidate must apply in writing for the Degree and with the application must forward:
 - (a) Three copies of the work referred to in Regulation 3 upon which the application is based (hereinafter referred to as 'the principal work');
 - (b) Any additional work, published or unpublished, including conjoint papers, which he or she may wish to submit in support of the application;
 - (c) A certificate signed by the candidate stating:
 - (i) the sources from which the information is derived and the extent to which the candidate has availed himself or herself of the work of others;
 - (ii) to what extent the candidate claims the work submitted to be his or her own;
 - (iii) if the principal work has previously been submitted for a degree or diploma of any university and the circumstances of that submission;
- (d) A statement in writing setting forth the subject or subjects within the purview of the Faculty of Law upon a knowledge of which the candidate rests his or her qualification for the doctorate and how far and in what respect the contributions appear to the candidate to advance the study of his or her subject.
5. (1) Upon receipt of a report from the Faculty ad hoc committee (as appointed under General Course and Examination Regulations: M. Higher Doctorates), the Academic Board shall decide whether to proceed with the examination. The work upon which the application is based and the accompanying material may then be submitted to not fewer than three examiners, one of whom shall be resident outside New Zealand. The examiners may, if they wish, require the candidate to take a written or oral examination.
- (2) The examiners shall, after such consultation as they may think fit, make a report in writing to the Dean of Postgraduate Studies through the Dean of the Faculty of Law recommending either:
 - (a) that the Degree be awarded; or
 - (b) that consideration of the application be deferred, pending the holding of a written examination, or for the principal work to be represented, or for the presentation of such further material as the examiners shall require, or for any other purpose; or
 - (c) that the application be refused; in every case giving reasons for their recommendation.

Where the examiners have recommended that consideration of the application be deferred for any stated reason, they may subsequently make a further report recommending that the degree be awarded or that the application be refused as the case may be.
- (3) In any case where the principal work is unpublished at the date of the application and the examiners have recommended that the degree be awarded then, unless the Academic Board otherwise resolves, the degree shall not be conferred until after the principal work has been published and copies lodged in the University library as required by General Course and Examination Regulations: M. Higher Doctorates.

Notes:

1. *The entry fee of \$2,000 shall be submitted with the application.*
2. *See Regulation L11 of the General Course and Examination Regulations for the resolution of disagreements between examiners.*

The Degree of Doctor of Letters (LittD)

1. The Degree of Doctor of Letters shall be awarded for significant original contribution to letters.
 2. A candidate for the Degree of Doctor of Letters shall hold a degree of the University of Canterbury or of the University of New Zealand, or shall have been admitted ad eundem statum to the status of such degree. No candidate shall be examined for the Degree of Doctor of Letters until five years have elapsed from having been admitted to the qualifying degree. Except in special circumstances approved by the Academic Board no member of the academic staff who is not a graduate of the University shall be examined for the Degree of Doctor of Letters until he or she has served a period of five years on the permanent full-time staff of the University.
 3. Application for the Degree shall be based on published work of the candidate, or, in special circumstances, on unpublished work, provided that these special circumstances shall be recognised as sufficient by the Academic Board.
 4. With the application, a candidate shall forward:
 - (a) Three copies of the work referred to in Regulation 3 upon which the application is based.
 - (b) Three copies of any additional material, published or unpublished, including conjoint work or relevant material from other sources, which the candidate desires to submit in support of his or her application.
 - (c) A statement signed by the candidate identifying the work which is the candidate's own, and stating that the work referred to in Regulation 3 above has not been previously accepted and is not being currently presented for a degree or diploma in any other University.
 5. Upon receipt of a report from the Faculty ad hoc committee (as appointed under General Course and Examination Regulations: M. Higher Doctorates) the Academic Board shall decide whether to proceed with the examination. The work may be then submitted to three examiners, of whom at least one shall be resident outside New Zealand, who shall jointly report to the Dean of Postgraduate Studies through the relevant Faculty Dean.
- Notes:**
1. *The entry fee of \$2,000 shall be submitted with the application.*
 2. *See Regulation L11 of the General Course and Examination Regulations for the resolution of disagreements between examiners.*
 3. *Guidelines for use by applicants for this degree are available from the Registrar on request.*

The Degree of Doctor of Music (MusD)

1. The Degree of Doctor of Music shall be awarded for distinction in musical composition.
2. A candidate for the degree shall be a graduate or member of staff of the University of Canterbury or shall otherwise demonstrate a close connection with the Canterbury district. The candidate shall hold the Degree of Bachelor of Music (with or without Honours) of the University of Canterbury or of the University of New Zealand, or shall have been admitted ad eundem statum as entitled to proceed to the degree of Doctor of Music. No candidate shall be examined for the Degree of Doctor of Music until five years have elapsed from having been admitted to the qualifying degree.
3. The candidate shall submit in support of the application for the degree three major compositions. The submissions will normally be in the form of musical scores, published or unpublished, and supported by recordings or performances of the works. In the case of electroacoustic music or of mixed media works, the submissions should be on a high-quality

audio tape, compact disc, video tape or any other media as appropriate.

4. Application for the degree shall be made in writing accompanied by a written statement, signed by the candidate, declaring that the material submitted is his or her own unaided composition.
5. Upon receipt of a report from the Faculty ad hoc committee (as appointed under General Course and Examination Regulations: M. Higher Doctorates) the Academic Board shall decide whether to proceed with the examination. The

work may then be submitted to three examiners, at least one of whom shall be resident outside New Zealand, who shall report jointly to the Dean of Postgraduate Studies through the relevant Faculty Dean.

Notes:

1. *The entry fee of \$2,000 shall be submitted with the application.*
2. *See Regulation L11 of the General Course and Examination Regulations for the resolution of disagreements between examiners.*

The Degree of Doctor of Science (DSc)

1. The Degree of Doctor of Science shall be awarded for significant original contribution to some branch of pure or applied science.
2. A candidate for the Degree of Doctor of Science shall hold a degree of the University of New Zealand or of the University of Canterbury or shall have been admitted under the admission ad eundem statum regulations to the status of such degree. No candidate shall be examined for the Degree of Doctor of Science until five years have elapsed from having been admitted to the qualifying degree. Except in special circumstances approved by the Academic Board, no member of the academic staff who is not a graduate of the University shall be examined for the Degree of Doctor of Science until he or she has served a period of five years on the permanent full-time staff of the University.
3. The Degree shall be awarded for published work by the candidate or in special circumstances for unpublished work, provided that these special circumstances are recognised as sufficient by the Academic Board.
4. With the application, a candidate shall forward:
 - (a) Three copies of the work referred to in Regulation 3 upon which the application is based.

- (b) Three copies of any additional work, published or unpublished, which the candidate desires to submit in support of his or her application.
- (c) A statement signed by the candidate identifying the work which is the candidate's own, and stating that the principal work has not been previously accepted and is not being currently presented for a degree or diploma in any other University.
5. Upon receipt of a report from the Faculty ad hoc committee (as appointed under General Course and Examination Regulations: M. Higher Doctorates) the Academic Board shall decide whether to proceed with the examination. The work may then be submitted to three examiners, of whom one at least shall be resident outside New Zealand. The candidate may be required by the examiners to present himself or herself for a written or oral examination. The examiners shall report to the Dean of Postgraduate Studies through the relevant Faculty Dean.

Notes:

1. *The entry fee of \$2,000 shall be submitted with the application.*
2. *See Regulation L11 of the General Course and Examination Regulations for the resolution of disagreements between examiners.*
3. *Guidelines for use by applicants for this degree are available on request from the Registrar.*